

ACCOUNT OPENING FORM - CORPORATE

Date of Application:

Instructions for filling the form:

- All fields are Mandatory
- Please fill in BLOCK letters
- Mention NA wherever not applicable

Type of entity (Please tick as applicable)

- Locally Incorporated
 Unincorporated / Partnership
 Club / Charity / Society / Trust / Foundation
 Global Business - GBL1
 Global Business - GBL2
 Parastatal Body
 Foreign Company
 Others (*please specify*)

Customer Information

Applicant Name:

Registration Number:..... License Number.....

Date of Incorporation:..... Tax Account/Social Security/National Insurance Number:

Trading Name (if different from above/ NA):

Nature of Business:

Country of Incorporation:

Registered Address:

Mailing Address (if different from above / NA):.....

Telephone:..... Fax Number:.....

Email Address:.....

Additional Details - Details of Current Banker

Name of the Bank	Branch	Banking Since Year
------------------	--------	--------------------

Business Profile

Capital Invested	Annual Income	Annual Turnover	Countries of operation

Brief Write up of the Business activities of the Company:

.....

.....

.....

Name of Ultimate Beneficial Owner/s:
(attach a duly signed structure chart indicating all direct / indirect shareholders upto the Ultimate Beneficial Owner)

Related Companies (if any):

Purpose of opening account:

Estimated Account activity (Yearly)

Type of transactions	Number (per annum)	Amount in USD (per annum)	Main Counter parties
Inward
Outward

Source of funds (e.g – funds from savings, shareholders, names of trading partners/remitters, business relationship with the remitters, nature of the commercial transaction)

.....

.....

Recent financial statements enclosed as on date:

Contact Details

	Primary Contact	Secondary Contact
Contact Name		
Job Title		
Telephone / Mobile		
Fax No		
Email address		

New Account Details (tick the currency as needed)								
	MUR	USD	EUR	GBP	AUD	CHF	AED	OTH
Current Account	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Statement Delivery	
As part of Go Green initiative, we propose to send account statements through email to all our customers. In case, you wish to receive the same through Post, please tick here	POST <input type="checkbox"/>

Corporate Internet Banking Service (tick only if needed)
Register us for BanyanTree Bank's Corporate internet banking Service <input type="checkbox"/>
<i>(If this is selected as "Yes", please submit a separate Board resolution in prescribed format. Also, note that User ID, Login password, Transaction password normally reaches users based in Mauritius within 7 working days)</i>

DECLARATIONS

1. We hereby confirm that the details given are correct, true and complete and agree to inform the bank of any change in the information provided. We also authorize BanyanTree Bank to independently verify any of the information provided by us, as above.
2. We have read and understood the BanyanTree Bank Limited General Terms and Conditions for Account holders which is available on the bank's website and agree to comply with them.
3. We are aware that the Bank is required to take such measures as are reasonably necessary to ensure that neither they nor any service offered by them are capable of being used by a person to commit or to facilitate the commission of a money laundering offence. As such, I/we certify that the money remitted into this account will be lawful business activity.
4. Where the bank sends, or makes available a statement of account or Cheque, we shall exercise reasonable promptness in examining the statement or Cheque to determine whether any payment was not authorized because of an alteration of a Cheque or because of a purported signature by us or on behalf of a person who is not authorized. The bank will be promptly notified of any such unauthorized payment after having been reasonably discovered.
5. We authorise the Bank to make any independent enquiries on any shareholder, directors, beneficial owners or authorized signatories in accordance with your normal procedures in connection with this application, including but not limited to a reference from banks with whom we already maintain a relationship.
6. We undertake to inform the Bank in the event of a change in beneficial ownership, directorship, and shareholding and authorized signatories.
7. We authorise the bank to send statement of accounts, advices and other correspondences at the address given above or through Internet to our email address at our risks. We are aware that the bank may outsource some of its mailing services to accredited suppliers approved by the regulatory authorities. The Bank will undertake all necessary due diligence on these companies and will ensure that we are provided with a service of the highest standards at all times

8. Personal information given to the Bank like email address and mobile number may be used for promotion of other products of the bank.
9. We confirm that our entity is a tax-compliant entity in the country of domicile or operation or control, etc. as applicable by any local and other relevant jurisdiction. We also authorize the Bank to report the account balances & other applicable information to the tax authorities as per Common Reporting Standards (CRS)
10. We authorize the Bank to share information pertaining to our account with any authorities, legal bodies, etc. as required by applicable laws of Mauritius from time to time.
11. For detailed general terms and conditions of the bank, please refer to our website: www.banyantreebank.com

.....
Authorised Signatory

Name:

Date:

.....
Authorised Signatory

Name:

Date:

.....
Authorised Signatory

Name:

Date:

.....
Authorised Signatory

Name:

Date:

For Office Use Only		
Customer Type		
ISIC Code		
CIF Number/s		
Account Number/s		
Maker (Name & Signature)		
Checker (Name & Signature)		
RM Name & Signature Code		
RM Code		

Kindly fill in the details for Each Ultimate Beneficial Owner / Shareholder / Director / Authorised Signatory (Print Multiple pages if one or more)

1.

Capacity Ultimate Beneficial Owner Shareholder Director Authorised Signatory

Full Name with Title:

Date of Birth	Nationality	National Identity Card/Passport No	Country of Residence
.....

Permanent address
.....

Mailing address
.....

Are you a US Citizen?	Is your income taxable under US law?	Tax Account/Social Security/National Insurance Number
<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

Phone number (Mobile/office/home)	Email Address
.....

2.

Capacity Ultimate Beneficial Owner Shareholder Director Authorised Signatory

Full Name with Title:

Date of Birth	Nationality	National Identity Card/Passport No	Country of Residence
.....

Permanent address
.....

Mailing address

.....

Are you a US Citizen? Yes No**Is your income taxable under US law?** Yes No**Tax Account/Social Security/National Insurance Number****Phone number (Mobile/office/home)****Email Address**

Details for all direct and indirect Corporate Shareholders & Ultimate Beneficial Owners with 20% and above shareholding in the applicant (print & attach multiple pages in case more than one)

Business Name:

Registration Number: **License Number:**

Date of Incorporation: **Country of Incorporation:**

Estimated Annual Income: **Tax Account/Social Security/National Insurance Number**

Nature of Business:

Email Address:

Registered company address:

Details of related Companies (Companies where one/more owners/ directors/ authorized signatories are common)

.....

Name(s) and Address (s) of Ultimate Beneficial Owner/s (If Beneficial Owner is a company)

.....

*** For Tax Purpose**

We confirm that the above-mentioned details are correct to the best of our knowledge and belief. We also authorise BanyanTree Bank Ltd. to independently verify any of the information provided by us, as above.

..... Authorised Signatory Authorised Signatory
Name:	Name:
Date:	Date:

..... Authorised Signatory Authorised Signatory
Name:	Name:
Date:	Date:

Board Resolution – Account Opening

At a meeting of the Board of Directors ofwhose registered office is at.....held on.....

It was resolved: -

That BanyanTree Bank Ltd be appointed as the Bankers of the Company and a current account with the bank be opened. That regarding the Company's account, BanyanTree Bank Ltd. is hereby authorized and requested –

To honour and comply with all cheques, drafts, bills of exchange, promissory notes, acceptances, negotiable instruments and orders, instructions/advices by way of letter or telecommunications expressed to be drawn, accepted, made or given on behalf of this Company at any time or times whether the banking account or accounts of this Company are overdrawn or any overdraft is increased by any payment thereof or in relation thereto or are in credit or otherwise but without prejudice to the Bank's right to refuse to allow any overdraft or increase of overdraft.

In the event of the said account becoming overdrawn due to any reasons whatsoever, the Bank shall be entitled to interest on the sum by which the said account is overdrawn at its discretion or alternatively set off the amount of the overdraft plus interest accrued against any credit balance or actionable claim (whether or not then due) to which the bank is at any time beneficially entitled on any account of the company at any office of the Bank or its correspondents.

To honor and comply with all instructions to deliver or dispose of any securities or documents or property held by the Bank on behalf of the Company; to hold the company liable on all agreements and indemnities in connection with the issue of letters of credit, drafts and telegraphic transfers and with all banking transactions. Provided any such cheques, drafts, bills of exchange, promissory notes, acceptances, negotiable instruments, orders, instructions, agreements and indemnities are signed by the persons holding the under mentioned offices for the time being.

To act upon any telecommunications such as but not restricted to telephone, facsimile, purporting to emanate from the Company, in accordance with the terms thereof, as received by the Bank, to act generally on any instructions which may be contained in the telecommunications and to charge to the company's account any payments made or expenses incurred in respect of such telecommunications, and we also engage and agree to hold the Bank harmless and indemnified from all claims, damages and expenses that may be made upon the Bank and which may be incurred or be put to by reason of the Bank so doing and otherwise howsoever arising out of the circumstances. (To be deleted if the company does not wish the Bank to act upon instructions by phone/facsimile/or telex).

To cancel all existing mandates (if any) in force at the date hereof with regard to the Company's said Account (s) Provided that all authorities, instructions, instruments and transactions authenticated in accordance with any existing Mandate and purporting to have been given, made, issued or entered into prior to receipt by BanyanTree Bank Ltd of notice of this resolution shall have effect as between the Company and BanyanTree Bank Ltd as though this resolution had never been passed.

That the names, specimen signatures and designations of the persons at present authorized to sign under this resolution be listed below: -

NAME	DESIGNATION	SPECIMEN SIGNATURE

That the foregoing mandate and list of names remain in force until receipt by the said Bank of a duly specified copy of a resolution rescinding or amending the same.

Mode of Operations: (specify the signing conditions and limits as required)

.....
.....

.....
.....

We hereby certify the above to be a true copy of the Minutes.

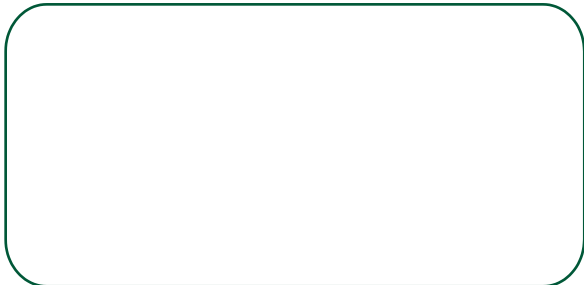
..... Chairman / Director

..... Date

..... Secretary

..... Date

(Company Seal)



LETTER OF INDEMNITY FOR FAX/E-MAIL INSTRUCTIONS

**The Manager
 BanyanTree Bank Ltd
 13 Floor Nexteracom 1, Cybercity
 Ebene
 Mauritius**

In consideration of your agreeing to accept from us, notwithstanding the terms of the relevant mandate, from time to time instructions purporting to come from us in form of facsimile/e-mail not bearing an original signature ("faxed instructions") in relation to our account (s) referred to above without requiring written confirmation in respect of any faxed instruction prior to acting thereon, we confirm that: -

(1) We are aware of the possible risks involved in connection with the giving of any faxed/e-mail instruction and have taken note of, and acknowledge our understanding and agreement to the "Important Notice" below.

(2) You are hereby, irrevocably and unconditionally, authorized to act on any faxed/e-mail instruction from registered fax number/e-mail, which, you in your sole discretion believe, emanates from us or otherwise appears to comply with the terms of the mandate for the above account(s) and you shall not be liable for acting in good faith on faxed/e-mail instructions, which emanate from unauthorized individuals or in any circumstances whatsoever.

(3) In the case of any faxed/e-mail instructions, your Bank may refuse to act if such instructions are not in the reasonable opinion of your Bank sufficiently clear to be read accurately and, in such event, your Bank shall be entitled not to act upon such instructions until it has established to its reasonable satisfaction the precise details of those instructions.

(4) In particular, you shall not be under any duty to verify the identity of the person or persons giving any faxed/e-mail instructions purportedly made on our behalf or the authenticity of any signature on any faxed/e-mail instruction.

(5) Any transaction made (including without limitation any transfer of funds from our accounts(s) referred to above) or service effected (including without limitation any request for change of address, certificate of balance or stop payment of cheques) pursuant to any faxed/e-mail instruction shall be binding upon us whether made with or without our authority, knowledge or consent and we shall confirm, the same in writing, such confirmation in each case to be signed on our behalf in accordance with the terms of the mandate and forwarded on the business day upon which faxed/e-mail instructions are given.

(6) We undertake to indemnify the Bank against all actions, proceedings claims, loss, damage, costs and expenses which may be brought against or suffered or incurred by the Bank and which shall have arisen either directly or indirectly out of or in connection with accepting faxed instructions sent to the Bank from us and acting thereon, whether or not the same are confirmed in writing by us.

(7) This letter of Indemnity shall remain in force until receipt by the Bank, a notice in writing from ourselves varying or purporting to vary or revoke this letter of Indemnity signed by an authorised officer(s).

.....
Authorised Signatory

Name:

Date:

.....
Authorised Signatory

Name:

Date:

.....
Authorised Signatory

Name:

Date:

.....
Authorised Signatory

Name:

Date:

CALL BACK AUTHORISATION MANDATE

The Manager
BanyanTree Bank Ltd
13 Floor Nexteracom 1, Cybercity
Ebene
Mauritius

I/ We, the authorised signatory (ies) of accounts held at BanyanTree Bank Limited nominate the following person(s) to be called back to confirm facsimile/original transfer/email instructions sent to the bank signed by me/us:

FIRST NOMINEE

Name: -
.....

ID Number:
.....

Call Back Contact Number(s):

Mobile: Office:.....
Other:.....

Signature:
.....

SECOND NOMINEE

Name:
.....

ID Number:
.....

Call Back Contact Number(s):
.....

Signature:
.....

I/We hereby confirm that the persons specified above shall be aware of the instructions given by me/us pursuant to this mandate.

.....
Authorised Signatory

Name:

Date:

.....
Authorised Signatory

Name:

Date:

.....
Authorised Signatory

Name:

Date:

.....
Authorised Signatory

Name:

Date:

Resolution by Board of Directors – Internet Banking Service

At a meeting of the Board of Directors ofwhose registered office is at.....held on....., it was resolved: -

That the Company's account with the Bank be operated through electronic means of any kind or nature including internet banking by the use of personal identification numbers and subject to the terms and conditions as available and updated on time to time basis on the bank's website: www.banyantreebank.com

That the following is the list of such person/s of the Company authorized for accessing Internet Banking and their relevant user profile on IB to access all accounts. We also attach certified true copy of passport/identity card with clear photographic image of the below above user(s)

SN	Name	ID Number	View Only	View / Download	Initiator	Authorizer
1						
2						
3						
4						

That the Bank is hereby authorized to complete all such banking transactions requested through the use of such PIN including but not limited to making credits to, debit or transfers from the Company's account and any other banking transactions which the Bank together with any third party may make available to PIN holders from time to time whether such account be in credit or overdrawn or may become overdrawn in consequence of such debits

That this resolution be communicated to the Bank and remain in force until an amending resolution shall have been passed and a copy thereof certified in accordance to the company's account opening resolution shall have been received and acknowledged in writing by the Bank

That we have read and understood the BanyanTree Bank Limited Internet Banking Terms and Conditions which is available on the bank's website: www.banyantreebank.com and agree to comply with them

That all Authorised Signatories, previously designated by the Customer and such other persons as are from time to time mandated by the Customer, to operate its accounts shall, unless otherwise expressly stipulated by the Customer by way of a board resolution, be 'ipso facto' entitled to access and operate the Customer's accounts through BANYANTREE BANK LIMITED IB as an Authorised IB User

..... Chairman/ Director

..... Date

..... Secretary

..... Date

(Company Seal)



Indicative Documents List (tick as applicable) – Locally / Foreign incorporated company	
Signed account opening forms (full set)	
Valid proof of identity documents for all involved in application processing: <ul style="list-style-type: none"> • For individual - Passport or National Identity card • For non-individual - Incorporation certificate 	
Valid proof of address documents for all involved in application processing: (utility bills, letter from a lawyer/bank/employer confirming residential address, lease agreement) - Not older than 3 months.	
Bank reference letter addressed to BanyanTree Bank Limited - (from an existing bank)	
Bank statement from an existing bank for last 6 months for the applicant & Ultimate Beneficial Owner	
Certified Power of Attorney – (if applicable)	
Eligible Introducer's certificate (Original duly stamped & on letter-head), If applicable	
Source of wealth & source of funds alongwith documentary evidences for the applicant & UBO	
Certified copies of constitution/Memorandum of Association /Articles of Association	
Certified copies of incorporation certificate	
Certified copy of Board Resolution for a/c opening, operations	
Latest audited financials	
Certificate of good standing - (for companies incorporated for more than 1 year)	
Certified copies of register of shareholders	
Certified copies of register of directors	
FSC License copy - if applicable	
KYC documents for all authorized signatories - (identity & address)	
KYC documents for all directors - (identity & address)	
KYC documents for all beneficial owners with 20% and above shareholding - (identity & address)	
Certified Registrar of company copy for current existence of the company	
Register of Secretaries- if applicable	
GBC license as applicable	
Duly signed business plan (nature of business, turnover, transactions, origin of funds, etc.)	

Indicative Documents List (tick as applicable) – Client accounts opened by professional intermediaries	
Signed account opening forms (full set)	
Valid proof of identity documents for all involved in application processing: <ul style="list-style-type: none"> • For individual - Passport or National Identity card • For non-individual - Incorporation certificate 	
Valid proof of address documents for all involved in application processing: (utility bills, letter from a lawyer/bank/employer confirming residential address, lease agreement) - Not older than 3 months.	
Bank reference letter (from an existing bank) addressed to BanyanTree Bank (For the applicant & ultimate beneficial owner)	
Bank statement from an existing bank for last 6 months for the applicant & Ultimate Beneficial Owner	
Certified Power of Attorney – (if applicable)	
Eligible Introducer's certificate Original duly stamped & on letter-head	
Source of wealth & source of funds along with documentary evidences for the applicant & UBO	
KYC documents for all beneficial owners (identity & address)	
Certified Registrar of company copy for current existence of the company	

Indicative Documents List (tick as applicable) – Special Purpose Vehicle (SPV)	
Signed account opening forms (full set)	
Valid proof of identity documents for all involved in application processing: <ul style="list-style-type: none"> For individual - Passport or National Identity card For non-individual - Incorporation certificate 	
Valid proof of address documents for all involved in application processing: (utility bills, letter from a lawyer/bank/employer confirming residential address, lease agreement) - Not older than 3 months.	
Bank reference letter (from an existing bank) addressed to BanyanTree Bank (For the applicant & ultimate beneficial owner)	
Bank statement from an existing bank for last 6 months for the applicant & Ultimate Beneficial Owner	
Link between (SPV) and the entity (Printout of Parent's website page mentioning ownership of entity by parent or Confirming the relationship through the Offering Memorandum/ Prospectus or latest Parent's Annual Reports or Financials mentioning the entity's ownership)	
Eligible Introducer's certificate Original duly stamped & on letter-head	
Source of wealth & source of funds along with documentary evidences for the applicant & UBO	
Proof of regulation of the linked entity (Screen printout of Regulator's website confirming regulation or Written confirmation from Regulator) - if applicable	
Diagram showing SPV structure	
Constitution document of the SPV	
Certificate of registration of the SPV	
Board Resolution for a/c opening, operations of SPV.	
Business / regulatory License of the SPV	
Duly signed business plan (nature of business, turnover, transactions, origin of funds, etc.)	
Latest audited financials	
KYC documents for all beneficial owners with 20% & above shareholding - (identity & address)	

Indicative Documents List (tick as applicable) – Society	
Signed account opening forms (full set)	
Valid proof of identity documents for all involved in application processing: <ul style="list-style-type: none"> • For individual - Passport or National Identity card • For non-individual - Incorporation certificate 	
Valid proof of address documents for all involved in application processing: (utility bills, letter from a lawyer/bank/employer confirming residential address, lease agreement) - Not older than 3 months.	
Bank reference letter (from an existing bank) addressed to BanyanTree Bank (For the applicant & ultimate beneficial owner)	
Bank statement from an existing bank for last 6 months for the applicant & Ultimate Beneficial Owner	
Certified copies of constitution/Memorandum of Association /Articles of Association	
Certified copies of incorporation certificate	
Certified copy of Board Resolution for a/c opening, operations	
Latest audited financials	
KYC documents for all authorized signatories - (identity & address)	
Certified Power of Attorney- if applicable	
Certified copy of Act de Society/Clubs/Charities	
Register of Secretaries- if applicable	
Eligible Introducer's certificate (Original duly stamped & on letter-head), If applicable	
Source of wealth & source of funds along with documentary evidences for the applicant & UBO	

Indicative Documents List (tick as applicable) – Clubs & or Charities	
Signed account opening forms (full set)	
Valid proof of identity documents for all involved in application processing: <ul style="list-style-type: none"> • For individual - Passport or National Identity card • For non-individual - Incorporation certificate 	
Valid proof of address documents for all involved in application processing: (utility bills, letter from a lawyer/bank/employer confirming residential address, lease agreement) - Not older than 3 months.	
Bank reference letter (from an existing bank) addressed to BanyanTree Bank (For the applicant & ultimate beneficial owner)	
Bank statement from an existing bank for last 6 months for the applicant & Ultimate Beneficial Owner	
Certified copies of constitution/Memorandum of Association /Articles of Association	
Certified copies of incorporation certificate	
Certified copy of Board Resolution for a/c opening, operations & Duly signed Business Plan	
Latest audited financials	
KYC documents for all authorized signatories - (identity & address)	
Certified Power of Attorney- if applicable	
Certified copy of Act de Society/Clubs/Charities	
Register of Secretaries- if applicable	
Eligible Introducer's certificate Original duly stamped & on letter-head	
Source of Wealth and Funds along with documentary evidences	

Indicative Documents List (tick as applicable) – Trust	
Signed account opening forms (full set)	
Valid proof of identity documents for all involved in application processing: <ul style="list-style-type: none"> • For individual - Passport or National Identity card • For non-individual - Incorporation certificate 	
Valid proof of address documents for all involved in application processing: (utility bills, letter from a lawyer/bank/employer confirming residential address, lease agreement) - Not older than 3 months.	
Bank reference letter (from an existing bank) addressed to BanyanTree Bank (For the applicant & ultimate beneficial owner)	
Bank statement from an existing bank for last 6 months for the applicant & Ultimate Beneficial Owner	
Certified Power of Attorney – (if applicable)	
Eligible Introducer's certificate (Original duly stamped & on letter-head), If applicable	
Source of wealth & source of funds along with documentary evidences for the applicant & UBO	
Certified copies of constitution/Memorandum of Association /Articles of Association	
Certified copies of incorporation certificate	
Certified copy of Board Resolution for a/c opening, operations	
Latest audited financials	
Duly signed business plan (nature of business, turnover, transactions, origin of funds, etc.)	
Certificate of good standing - (for companies incorporated for more than 1 year)	
KYC documents for all authorized signatories - (identity & address)	
KYC documents for all beneficial owners - (identity & address)	
Certified Trust Deed copy	
Certified Trust Registrar	
Certified Trust Resolution	
Register of Secretaries- if applicable	
Evidence on appointment of current trustees	
Evidence on nature and purpose of Trust	
Evidence as required for personal customers on identity of: <ul style="list-style-type: none"> - Current Trustees - Settlor - Beneficial Owner - Any person having power to appoint / remove trustees 	

Indicative Documents List (tick as applicable) – Funds	
Signed account opening forms (full set)	
Valid proof of identity documents for all involved in application processing: <ul style="list-style-type: none"> • For individual - Passport or National Identity card • For non-individual - Incorporation certificate 	
Valid proof of address documents for all involved in application processing: (utility bills, letter from a lawyer/bank/employer confirming residential address, lease agreement) - Not older than 3 months.	
Bank reference letter (from an existing bank) addressed to BanyanTree Bank (For the applicant & ultimate beneficial owner)	
Bank statement from an existing bank for last 6 months for the applicant & Ultimate Beneficial Owner	
Source of wealth & source of funds along with documentary evidences for the applicant & UBO	
Private Placement Memorandum/Constitution	
Eligible Introducer's certificate (Original duly stamped & on letter-head), If applicable	
Certificate of registration	
Business / regulatory License	
Certified copy of Board Resolution for a/c opening, operations	
Latest audited financials	
Certificate of good standing - (for companies incorporated for more than 1 year)	
Undertaking from Administrator/Promoter/Investment Manager that due diligence is carried on investors	
KYC documents for all beneficial owners with 20% and above shareholding - (identity & address)	
Duly signed business plan (nature of business, turnover, transactions, origin of funds, etc.)	
KYC documents of the fund administrator / manager <ul style="list-style-type: none"> - Business/regulatory license - Certificate of incorporation - Memorandum of Association /Articles of Association - Register of shareholders, directors & authorised signatories - Board resolution for authorized signatories - KYC documents for all beneficial owners holding more than 20%- (identity & address) 	

Entity tax residency self-certification Form

Effective 1st January 2017, Common Reporting Standards (CRS) regulation requires financial institutions such as BanyanTree Bank Limited to collect and report certain information about an account holder's current tax residency, account information and information about its controlling persons, as mandated by the concerned Mauritian authority. Please complete, where applicable, the relevant sections below.

Please note that the Bank's staff is unable to assist the customer in the completion of this form. For more information, you may visit the OECD's website or speak to a professional tax advisor / consultant.

If a TIN (Taxpayer Identification Number or functional equivalent) is unavailable please provide the appropriate reason A, B or C where indicated below:

***Reason A - The country where the Account Holder is liable to pay tax does not issue TINs to its residents.**

Reason B - The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason).

Reason C - No TIN is required. (Note: Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed).

Customer details

Legal Name			
Country of incorporation or organization			
Current Residence Address (Town/State and Country)			
Mailing Address (if different to the address shown above)			
Entity Type (choose one)		Financial Institution <input type="checkbox"/>	
		If yes, Provide GIIN _____ (obtained for FATCA purposes) and Provide name of Controlling Person(s)	
		Passive NFE <input type="checkbox"/> if Yes, Provide name of Controlling Person(s)	
Active NFE <input type="checkbox"/>			
Name of any Controlling Person(s) of the Account Holder – Complete “Controlling Person Tax Residency self-certification form” for each Controlling Person*			
Country of Tax Residence	TIN or a Business/company registration code/ Num	If no TIN available, enter Reason* A, B or C	If you have selected Reason B, explain why

Note: Please complete the above table indicating (i) where the Account Holder is tax resident and (ii) the Account Holder's TIN for each country indicated. If the Account Holder is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that on line 1 and provide its place of effective management or country in which its principal office is located.

If the Account Holder is tax resident in more than three countries please use a separate sheet.

Declarations and Signature

- I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with BanyanTree Bank Limited setting out how BanyanTree Bank Limited may use and share the information supplied by me.
- I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.
- I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates.
- I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
- I undertake to advise BanyanTree Bank Limited within 30 days of any change in circumstances which affects the tax residency status of the entity identified above of this form or causes the information contained herein to become incorrect, and to provide BanyanTree Bank Limited with a suitably updated self-certification and Declaration within 30 days of such change in circumstances.
- **I declare that all information provided on this form is true, correct and complete and I undertake to indemnify BanyanTree Bank Limited and its Officers in the event that I would have made any misstatement in this certificate.**

Signature: _____ Date: _____

Print name: _____

Note: Please indicate the capacity in which you are signing the form (for example 'Authorised Officer'). If signing under a power of attorney please also attach a certified copy of the power of attorney

Capacity: _____

Controlling Person tax residency self-certification form

Effective 1st January 2017, Common Reporting Standards (CRS) regulation requires financial institutions such as BanyanTree Bank Limited to collect and report certain information about an account holder's current tax residency, account information and information about its controlling persons, as mandated by the concerned Mauritian authority. Please complete, where applicable, the relevant sections below.

Please note that the Bank's staff is unable to assist the customer in the completion of this form. For more information, you may visit the OECD's website or speak to a professional tax advisor / consultant.

If a TIN (Taxpayer Identification Number or functional equivalent) is unavailable please provide the appropriate reason A, B or C where indicated below:

***Reason A - The country where the Account Holder is liable to pay tax does not issue TINs to its residents.**

Reason B - The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason).

Reason C - No TIN is required. (Note: Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed).

Controlling Person details

Name of Controlling Person			
Current Residence Address of Controlling Person			
Mailing Address of Controlling Person (if different to the address shown above)			
Date of Birth of Controlling Person (dd/mm/yyyy)			
Place of Birth - Town or City of Birth of Controlling Person			
Country of Birth of Controlling Person			
Legal name of the relevant entity Account Holder(s) of which you are a Controlling Person		Legal name of Entity 1	
		Legal name of Entity 2	
		Legal name of Entity 3	
Country of Tax Residence of Controlling Person	TIN/social security/insurance no./citizen/personal identification/service code/no. or resident registration no. of Controlling Person	If no TIN available, enter Reason* A, B or C	If you have selected Reason B, explain why

Note: Please complete the above table indicating:

(i) where the Controlling Person is tax resident;

(ii) the Controlling Person's TIN for each country indicated; and,

(iii) if the Controlling Person is a tax resident in a country that is a Reportable Jurisdiction(s) then please also complete the section "Type of Controlling Person". (You can also find out more about whether a country is a Reportable Jurisdiction on the OECD automatic exchange of information portal). If the Controlling Person is tax resident in more than three countries please use a separate sheet

Type of Controlling Person
(Please only complete this section if you are tax resident in one or more Reportable Jurisdictions)

Please provide the Controlling Person's Status by ticking the appropriate box.	Entity 1	Entity 2	Entity 3
a. Controlling Person of a legal person – control by ownership			
b. Controlling Person of a legal person – control by other means			
c. Controlling Person of a legal person – senior managing official			
d. Controlling Person of a trust - settlor			
e. Controlling Person of a trust – trustee			
f. Controlling Person of a trust – protector			
g. Controlling Person of a trust – beneficiary			
h. Controlling Person of a trust – other			
i. Controlling Person of a legal arrangement (non-trust) – settlor-equivalent			
j. Controlling Person of a legal arrangement (non-trust) – trustee-equivalent			
k. Controlling Person of a legal arrangement (non-trust) – protector-equivalent			
l. Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent			
m. Controlling Person of a legal arrangement (non-trust) – other-equivalent			

Declarations and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with BanyanTree Bank Limited setting out how BanyanTree Bank Limited may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Controlling Person and any Reportable Account(s) may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which [I/the Controlling Person] may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am the Controlling Person, or am authorised to sign for the Controlling Person, of all the account(s) held by the entity Account Holder to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise BanyanTree Limited within 30 days of any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect, and to provide BanyanTree Bank Limited with a suitably updated self-certification and Declaration within 30 days of such change in circumstances.

I declare that all information provided on this form is true, correct and complete and I undertake to indemnify BanyanTree Bank Limited and its Officers in the event that I would have made any misstatement in this certificate.

Signature: _____

Print name: _____

Date: _____

Note: If you are not the Controlling Person please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.

Capacity: _____



13th Floor Nexteracom Tower 1, Cybercity, Ebene, Mauritius | Tel. +230 468 1101 | Fax: +230 468 1901
BRN No: C12110365 www.banyantreebank.com